MFS® Roth IRA Conversion Form

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1. Investor Information **Please print clearly in capital letters.**

INVESTOR'S FIRST NAME | MI | LAST NAME

SOCIAL SECURITY NUMBER - - | REGISTERED REP. LAST NAME | REGISTERED REP. PHONE NUMBER

2. Describe IRA to be Converted to an MFS Roth IRA

☐ I wish to convert all shares of all my existing traditional IRAs reflecting MFS® Heritage Trust Company℠ as trustee to new MFS Roth IRA Conversion accounts, while maintaining the same fund allocations. I have completed, signed, and attached **Form A**. *(Skip to Section 5.)*

The IRA to be converted to an MFS Roth IRA is presently invested or deposited in

☐ MFS funds (enter fund numbers) , , , ,

☐ Other

☐ CDs

DATE OF MATURITY

ACCOUNT NUMBER | CONTACT NAME (IF ANY)

NAME OF RESIGNING TRUSTEE/CUSTODIAN | PHONE NUMBER

MAILING ADDRESS OF RESIGNING TRUSTEE/CUSTODIAN

CITY | STATE | ZIP CODE -

3. Conversion Instructions

Important: Contact the trustee or custodian for their requirements before completing this section.

To resigning custodian:

Please convert over ☐ **all** or ☐ **part** ($ _____) of the IRA described above to my MFS Roth IRA

☐ **immediately**, or ☐ **at maturity.**

Send assets as follows:

☐ **Mail check.** Make check payable to MFS Heritage Trust Company, Trustee for _____ Roth IRA
(NAME, SOCIAL SECURITY NUMBER)

Regular mail to: MFS Service Center, Inc.
P.O. Box 55824
Boston, MA 02205-5824

Overnight mail to: MFS Service Center, Inc.
500 Boylston Street
Boston, MA 02116-3741

☐ **Wire funds.** Wire funds to State Street Bank and Trust Co.
Boston, MA 02101
ABA #011000028
Credit MFS DDA Number 99034795

For further credit to Roth IRA for: _____

☐ I wish to also convert my non-MFS money market IRA from the above firm to MFS.
To resigning custodian: If this box is checked, please liquidate any non-MFS money market shares and send to the address above.

4. Investment Instructions **Check one.**

☐ Open a new MFS Roth IRA account (Complete and attach **Form A**, upon which you may indicate your investment allocation instructions, leaving the below fields blank.)

☐ Invest in my existing MFS Roth IRA(s) as follows (also indicate any additional MFS fund choices in the boxes below):

FUND NUMBER	PERCENTAGE	FUND NUMBER	PERCENTAGE
	%		%
	%		%
	%		%

5. Tax Withholding Election

IRS regulations require the custodian of the IRA from which you are converting to withhold federal taxes. Withholding will apply to the entire amount of the conversion, even if nondeductible contributions to the IRA have been made. You may still reinvest the entire conversion amount by replacing the amount withheld with other assets. Any amounts withheld and not replaced into the new MFS Roth IRA Conversion account within 60 days may be subject to a 10% early withdrawal penalty if you are under 59½ years old. Even if you elect not to have federal income tax withheld, you are liable for payment of federal income tax on the taxable portion of your converted amount. Certain state and local taxes may also apply to the converted amount.

I elect to have no federal or state income tax withheld on the amount of the conversion.

OR

(Check one of the below boxes to elect withholding)

☐ Withhold federal income tax from the distribution from my IRA for conversion to an MFS Roth IRA Conversion account at the rate of 10%.

For a withholding higher than 10%

☐ Withhold federal income tax from the distribution from my IRA for conversion to an MFS Roth IRA Conversion account at the rate of _____%.

6. Authorization to Convert

Important: Contact the trustee or custodian of the IRA you are converting to see if a signature guarantee or other documentation is required.

I authorize and request the custodian or its agents of my IRA specified above in Section 2 to make the above requested conversion distribution from my IRA to my MFS Roth IRA Conversion account. I acknowledge that this transaction will be a taxable event that will be reported to the Internal Revenue Service by the current IRA trustee or custodian. I understand that I will be subject to income taxes on the amount converted from my IRA to an MFS Roth IRA Conversion account to the extent that the converted amount is includable in my income.

Investor signature _____ Date _____

Signature guaranteed by:

Name of Firm _____

Signature of authorized person _____

7. Trustee Acceptance. For MFS Use Only.

MFS® Heritage Trust Company℠ is willing to accept the assets described above and credit them to the MFS Roth Individual Retirement Account Trust for which it is trustee. MFS Heritage Trust Company agrees to the redemption and conversion to a Roth IRA Conversion account as authorized above.

Authorized MFS signature _____ Date _____
On behalf of MFS Heritage Trust Company

MFS® INVESTMENT MANAGEMENT